



MICHELLE LUJAN GRISHAM
Governor

PATRICK M. ALLEN
Cabinet Secretary

Date: January 2, 2024

To: Michael Buszek, Ph.D., President / Executive Director

Provider: Transitional Lifestyles Community, LLC
Address: 8500 Menaul Blvd NE, A200
State/Zip: Albuquerque, New Mexico 87112

E-mail Address: tranlifellc@outlook.com

Region: Metro
Routine Survey: June 5 – 15, 2023
Verification Survey: November 27 – December 6, 2023
Program Surveyed: Developmental Disabilities Waiver

Service Surveyed: Supported Living and Family Living

Survey Type: Verification

Team Leader: Kayla R. Benally, BSW, Healthcare Surveyor, Division of Health Improvement/Quality Management Bureau

Team Members: Koren Chandler, Healthcare Surveyor, Division of Health Improvement/Quality Management Bureau

Dear Mr. Michael Buszek, Ph.D;

The Division of Health Improvement/Quality Management Bureau has completed a Verification survey of the services identified above. The purpose of the survey was to determine compliance with your Plan of Correction submitted to DHI regarding the Routine Survey on June 5 – 15, 2023.

The Division of Health Improvement, Quality Management Bureau has determined your agency is now in:

Partial Compliance with Standard Level Tags and Conditions of Participation Level Tags: This determination is based on noncompliance with one to five (1 – 5) Condition of Participation Level Tags (*refer to Attachment D for details*). The attached QMB Report of Findings indicates Standard Level and Condition of Participation Level deficiencies identified and requires completion and implementation of a Plan of Correction.

The following tags are identified as Condition of Participation Level:

- Tag # 1A09 Medication Delivery Routine Medication Administration (***New / Repeat Findings***)
- Tag # 1A09.1 Medication Delivery PRN Medication Administration (***New / Repeat Findings***)

The following tags are identified as Standard Level:

- Tag # 1A09.0 Medication Delivery Routine Medication Administration (***New / Repeat Findings***)

**NMDOH-DIVISION OF HEALTH IMPROVEMENT
QUALITY MANAGEMENT BUREAU**

5300 HOMESTEAD ROAD NE, SUITE 300-3223, ALBUQUERQUE, NEW MEXICO
87110 (505) 470-4797 • FAX: (505) 222-8661 • <http://nmhealth.org/about/dhi>

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Survey Report #: Q.24.2.DDW.D3235.5.VER.01.24.002

However, due to the new/repeat deficiencies your agency will be required to contact your DDSD Regional Office for technical assistance and follow up and complete the Plan of Correction document attached at the end of this report. Please respond to the Plan of Correction Coordinator within 10 business days of receipt of this letter.

Plan of Correction:

The attached Report of Findings identifies the new/repeat Standard Level deficiencies found during your agency's verification compliance review. You are required to complete and implement a Plan of Correction. Your agency has a total of 10 business days from the receipt of this letter. The Plan of Correction must include the following:

1. Evidence your agency has contacted your DDSD Regional Office for technical assistance;
2. A Plan of Correction detailing Quality Assurance/Quality Improvement processes to prevent your agency from receiving deficiencies in the future. Please use the format provided at the end of this report;
3. Documentation verifying that newly cited deficiencies have been corrected.

Submission of your Plan of Correction:

Please submit your agency's Plan of Correction and documentation verifying correction of survey deficiencies within 10 business days of receipt of this letter to the parties below:

1. **Quality Management Bureau, Attention: Plan of Correction Coordinator**
5301 Central Ave. NE Suite 400, New Mexico 87108
MonicaE.Valdez@state.nm.us
2. **Developmental Disabilities Supports Division Regional Office for region of service surveyed**

Failure to submit your POC within the allotted 10 business days may result in the imposition of a \$200 per day Civil Monetary Penalty until it is received, completed and/or implemented.

Please call the Plan of Correction Coordinator Monica Valdez at 505-273-1930 if you have questions about the Report of Findings or Plan of Correction. Thank you for your cooperation and for the work you perform.

Sincerely,

Kayla R. Benally, BSW

Kayla R. Benally, BSW
Team Lead/Healthcare Surveyor
Division of Health Improvement
Quality Management Bureau

Survey Process Employed:

Administrative Review Start Date: November 27, 2023

Contact: **Transitional Lifestyles Community, LLC**
Michael Buszek, Ph.D., President / Executive Director

DOH/DHI/QMB
Kayla R. Benally, BSW, Team Lead/Healthcare Surveyor

Entrance Conference Date: *Entrance conference was waived by provider*

Exit Conference Date: December 6, 2023

Present: **Transitional Lifestyles Community, LLC**
Michael Buszek, Ph.D., President / Executive Director
Nicole Buszek, Office Administrator / Program Coordinator
Nancy Molisee, Chief Financial Officer

DOH/DHI/QMB
Kayla R. Benally, BSW, Team Lead/Healthcare Surveyor
Koren Chandler, Healthcare Surveyor
Wolf Krusemark, BFA, Healthcare Surveyor Supervisor

DDSD – Metro Regional Office
Bernadette D. Baca, Social & Community Service Coordinator

Administrative Locations Visited: *(Administrative portion of survey completed remotely)*

Total Sample Size: 9
4 - Supported Living
5 - Family Living

Persons Served Records Reviewed 9

Direct Support Professional Interviewed during Routine Survey 7

Direct Support Professional Records Reviewed 38

Substitute Care/Respite Personnel Records Reviewed 9

Service Coordinator Records Reviewed 2

Administrative Interview completed during Routine Survey 1

Nurse Interview completed during Routine Survey 1

Administrative Processes and Records Reviewed:

- Medicaid Billing/Reimbursement Records for all Services Provided
- Accreditation Records
- Oversight of Individual Funds

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- Individual Medical and Program Case Files, including, but not limited to:
 - Individual Service Plans
 - Progress on Identified Outcomes
 - Healthcare Plans
 - Medical Emergency Response Plans
 - Medication Administration Records
 - Physician Orders
 - Therapy Evaluations and Plans
 - Healthcare Documentation Regarding Appointments and Required Follow-Up
 - Other Required Health Information
- Internal Incident Management Reports and System Process / General Events Reports
- Personnel Files, including nursing and subcontracted staff
- Staff Training Records, Including Competency Interviews with Staff
- Agency Policy and Procedure Manual
- Caregiver Criminal History Screening Records
- Consolidated Online Registry/Employee Abuse Registry
- Human Rights Committee Notes and Meeting Minutes
- Quality Assurance / Improvement Plan

CC: Distribution List: DOH - Division of Health Improvement
 DOH - Developmental Disabilities Supports Division
 DOH - Office of Internal Audit
 HSD - Medical Assistance Division

Attachment B

Department of Health, Division of Health Improvement QMB Determination of Compliance Process

The Division of Health Improvement, Quality Management Bureau (QMB) surveys compliance of the Developmental Disabilities Waiver (DDW) standards and other state and federal regulations. For the purpose of the LCA / CI survey the CMS waiver assurances have been grouped into four (4) Service Domains: Plan of Care (ISP Implementation); Qualified Providers; Health, Welfare and Safety; and Administrative Oversight (note that Administrative Oversight listed in this document is not the same as the CMS assurance of Administrative Authority. Used in this context it is related to the agency's operational policies and procedures, Quality Assurance system and Medicaid billing and reimbursement processes.)

The QMB Determination of Compliance process is based on provider compliance or non-compliance with standards and regulations identified during the on-site survey process and as reported in the QMB Report of Findings. All areas reviewed by QMB have been agreed to by DDS and DHI/QMB and are reflective of CMS requirements. All deficiencies (non-compliance with standards and regulations) are identified and cited as either a Standard level deficiency or a Condition of Participation level deficiency in the QMB Reports of Findings. All deficiencies require corrective action when non-compliance is identified.

Each deficiency in your Report of Findings has been predetermined to be a Standard Level Deficiency, a Condition of Participation Level Deficiency, if below 85% compliance or a non-negotiable Condition of Participation Level Deficiency. Your Agency's overall Compliance Determination is based on a Scope and Severity Scale which takes into account the number of Standard and Condition Level Tags cited as well as the percentage of Individuals affected in the sample.

Conditions of Participation (CoPs)

CoPs are based on the Centers for Medicare and Medicaid Services, Home and Community-Based Waiver required assurances, in addition to the New Mexico Developmental Disability Waiver (DDW) Service Standards. The Division of Health Improvement (DHI), in conjunction with the Developmental Disability Support Division (DDS), has identified certain deficiencies that have the potential to be a Condition of Participation Level, if the tag falls below 85% compliance based on the number of people affected. Additionally, there are what are called non-negotiable Conditions of Participation, regardless if one person or multiple people are affected. In this context, a CoP is defined as an essential / fundamental regulation or standard, which when out of compliance directly affects the health and welfare of the Individuals served. If no deficiencies within a Tag are at the level of a CoP, it is cited as a Standard Level Deficiency.

Service Domains and CoPs for Living Care Arrangements and Community Inclusion are as follows:

Service Domain: Service Plan: ISP Implementation - *Services are delivered in accordance with the service plan, including type, scope, amount, duration and frequency specified in the service plan.*

Potential Condition of Participation Level Tags, if compliance is below 85%:

- **1A08.3** – Administrative Case File: Individual Service Plan / ISP Components
- **1A32** – Administrative Case File: Individual Service Plan Implementation
- **LS14** – Residential Service Delivery Site Case File (ISP and Healthcare Requirements)
- **IS14** – CCS / CIES Service Delivery Site Case File (ISP and Healthcare Requirements)

Service Domain: Qualified Providers - *The State monitors non-licensed/non-certified providers to assure adherence to waiver requirements. The State implements its policies and procedures for verifying that provider training is conducted in accordance with State requirements and the approved waiver.*

Potential Condition of Participation Level Tags, if compliance is below 85%:

- **1A20** - Direct Support Professional Training
- **1A22** - Agency Personnel Competency

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- **1A37** – Individual Specific Training

Non-Negotiable Condition of Participation Level Tags (one or more Individuals are cited):

- **1A25.1** – Caregiver Criminal History Screening
- **1A26.1** – Consolidated On-line Registry Employee Abuse Registry

Service Domain: Health, Welfare and Safety - *The State, on an ongoing basis, identifies, addresses and seeks to prevent occurrences of abuse, neglect and exploitation. Individuals shall be afforded their basic human rights. The provider supports individuals to access needed healthcare services in a timely manner.*

Potential Condition of Participation Level Tags, if compliance is below 85%:

- **1A08.2** – Administrative Case File: Healthcare Requirements & Follow-up
- **1A09** – Medication Delivery Routine Medication Administration
- **1A09.1** – Medication Delivery PRN Medication Administration
- **1A15.2** – Administrative Case File: Healthcare Documentation (Therap and Required Plans)

Non-Negotiable Condition of Participation Level Tags (one or more Individuals are cited):

- **1A05** – General Requirements / Agency Policy and Procedure Requirements
- **1A07** – Social Security Income (SSI) Payments
- **1A09.2** – Medication Delivery Nurse Approval for PRN Medication
- **1A15** – Healthcare Coordination - Nurse Availability / Knowledge
- **1A31** – Client Rights/Human Rights
- **LS25.1** – Residential Reqt. (Physical Environment - Supported Living / Family Living / Intensive Medical Living)

Attachment C

Guidelines for the Provider Informal Reconsideration of Finding (IRF) Process

Introduction:

Throughout the QMB Survey process, surveyors are openly communicating with providers. Open communication means surveyors have clarified issues and/or requested missing information before completing the review through the use of the signed/dated "Document Request," or "Administrative Needs," etc. forms. Regardless, there may still be instances where the provider disagrees with a specific finding. Providers may use the following process to informally dispute a finding.

Instructions:

1. The Informal Reconsideration of the Finding (IRF) request must be received in writing to the QMB Bureau Chief **within 10 business days** of receipt of the final Report of Findings (**Note: No extensions are granted for the IRF**).
2. The written request for an IRF *must* be completed on the QMB Request for Informal Reconsideration of Finding form available on the QMB website: <https://nmhealth.org/about/dhi/cbp/irf/>
3. The written request for an IRF must specify in detail the request for reconsideration and why the finding is inaccurate.
4. The IRF request must include all supporting documentation or evidence.
5. If you have questions about the IRF process, email the IRF Chairperson, Valerie V. Valdez at valerie.valdez@doh.nm.gov for assistance.

The following limitations apply to the IRF process:

- The written request for an IRF and all supporting evidence must be received within 10 business days.
- Findings based on evidence requested during the survey and not provided may not be subject to reconsideration.
- The supporting documentation must be new evidence not previously reviewed or requested by the survey team.
- Providers must continue to complete their Plan of Correction during the IRF process
- Providers may not request an IRF to challenge the sampling methodology.
- Providers may not request an IRF based on disagreement with the nature of the standard or regulation.
- Providers may not request an IRF to challenge the team composition.
- Providers may not request an IRF to challenge the DHI/QMB determination of compliance or the length of their DDSD provider contract.

A Provider forfeits the right to an IRF if the request is not received within 10 business days of receiving the report and/or does not include all supporting documentation or evidence to show compliance with the standards and regulations.

The IRF Committee will review the request; the Provider will be notified in writing of the ruling; no face-to-face meeting will be conducted.

When a Provider requests that a finding be reconsidered, it does not stop or delay the Plan of Correction process. **Providers must continue to complete the Plan of Correction, including the finding in dispute regardless of the IRF status.** If a finding is removed or modified, it will be noted and removed or modified from the Report of Findings. It should be noted that in some cases a Plan of Correction may be completed prior to the IRF process being completed. The provider will be notified in writing on the decisions of the IRF committee.

QMB Determinations of Compliance

Compliance:

The QMB determination of *Compliance* indicates that a provider has either no deficiencies found during a survey or that no deficiencies at the Condition of Participation Level were found. The agency has obtained a level of compliance such that there is a minimal potential for harm to individuals' health and safety. To qualify for a determination of *Compliance*, the provider must have received no Conditions of Participation Level Deficiencies and have a minimal number of Individuals on the sample affected by the findings indicated in the Standards Level Tags.

Partial-Compliance with Standard Level Tags:

The QMB determination of *Partial-Compliance with Standard Level Tags* indicates that a provider is in compliance with all Condition of Participation Level deficiencies but is out of compliance with a certain percentage of Standard Level deficiencies. This partial-compliance, if not corrected, may result in a negative outcome or the potential for more than minimal harm to individuals' health and safety. There are two ways to receive a determination of Partial Compliance with Standard Level Tags:

1. Your Report of Findings includes 16 or fewer Standards Level Tags with between 75% and 100% of the survey sample affected in any tag.
2. Your Report of Findings includes 17 or more Standard Level Tags with between 50% to 74% of the survey sample affected in any tag.

Partial-Compliance with Standard Level Tags and Condition of Participation Level Tags:

The QMB determination of *Partial-Compliance with Standard Level Tags and Condition of Participation Level Tags* indicates that a provider is out of compliance with one to five (1 – 5) Condition of Participation Level Tags. This partial-compliance, if not corrected, may result in a serious negative outcome or the potential for more than minimal harm to individuals' health and safety.

Non-Compliance:

The QMB determination of *Non-Compliance* indicates a provider is significantly out of compliance with both Standard Level deficiencies and Conditions of Participation level deficiencies. This non-compliance, if not corrected, may result in a serious negative outcome or the potential for more than minimal harm to individuals' health and safety. There are three ways an agency can receive a determination of Non-Compliance:

1. Your Report of Findings includes 17 or more total Tags with 0 to 5 Condition of Participation Level Tags with 75% to 100% of the survey sample affected in any Condition of Participation Level tag.
2. Your Report of Findings includes any amount of Standard Level Tags with 6 or more Condition of Participation Level Tags.

Compliance Determination	Weighting						
	LOW		MEDIUM			HIGH	
Total Tags:	up to 16	17 or more	up to 16	17 or more	Any Amount	17 or more	Any Amount
	and	and	and	and	And/or	and	And/or
COP Level Tags:	0 COP	0 COP	0 COP	0 COP	1 to 5 COP	0 to 5 CoPs	6 or more COP
	and	and	and	and		and	
Sample Affected:	0 to 74%	0 to 49%	75 to 100%	50 to 74%		75 to 100%	
“Non-Compliance”						17 or more Total Tags with 75 to 100% of the Individuals in the sample cited in any CoP Level tag.	Any Amount of Standard Level Tags and 6 or more Conditions of Participation Level Tags.
“Partial Compliance with Standard Level tags and Condition of Participation Level Tags”					Any Amount Standard Level Tags, plus 1 to 5 Conditions of Participation Level tags.		
“Partial Compliance with Standard Level tags”			up to 16 Standard Level Tags with 75 to 100% of the individuals in the sample cited in any tag.	17 or more Standard Level Tags with 50 to 74% of the individuals in the sample cited any tag.			
“Compliance”	Up to 16 Standard Level Tags with 0 to 74% of the individuals in the sample cited in any tag.	17 or more Standard Level Tags with 0 to 49% of the individuals in the sample cited in any tag.					

Agency: Transitional Lifestyles Community, LLC - Metro Region
Program: Developmental Disabilities Waiver
Service: Supported Living and Family Living
Survey Type: Verification
Routine Survey: June 5 – 15, 2023
Verification Survey: November 27 – December 6, 2023

Standard of Care	Routine Survey Deficiencies June 5 – 15, 2023	Verification Survey New and Repeat Deficiencies November 27 – December 6, 2023
<p>Service Domain: Health and Welfare – The state, on an ongoing basis, identifies, addresses and seeks to prevent occurrences of abuse, neglect and exploitation. Individuals shall be afforded their basic human rights. The provider supports individuals to access needed healthcare services in a timely manner.</p>		
<p>Tag # 1A09 Medication Delivery Routine Medication Administration</p>	<p>Condition of Participation Level Deficiency</p>	<p>Condition of Participation Level Deficiency</p>
<p>Developmental Disabilities Waiver Service Standards Eff 11/1/2021</p> <p>Chapter 10 Living Care Arrangements (LCA): 10.3.5 Medication Assessment and Delivery: Living Supports Provider Agencies must support and comply with:</p> <ol style="list-style-type: none"> the processes identified in the DDSD AWMD training; the nursing and DSP functions identified in the Chapter 13.3 Adult Nursing Services; all Board of Pharmacy regulations as noted in Chapter 16.5 Board of Pharmacy; and documentation requirements in a Medication Administration Record (MAR) as described in Chapter 20 20.6 Medication Administration Record (MAR) <p>Chapter 20 Provider Documentation and Client Records: 20.6 Medication Administration Record (MAR): Administration of medications apply to all provider agencies of the following services: living supports, customized community supports, community integrated employment, intensive medical living supports.</p> <ol style="list-style-type: none"> Primary and secondary provider agencies are to utilize the Medication Administration Record (MAR) online in Therap. Providers have until November 1, 2022, to have a current Electronic Medication Administration 	<p>After an analysis of the evidence it has been determined there is a significant potential for a negative outcome to occur.</p> <p>Medication Administration Records (MAR) were reviewed for the months of April, May and June 2023.</p> <p>Based on record review, 4 of 5 individuals had Medication Administration Records (MAR), which contained missing medications entries and/or other errors:</p> <p>Individual #1 April 2023 Medication Administration Records contained missing entries. No documentation found indicating reason for missing entries:</p> <ul style="list-style-type: none"> Ketoconazole Shampoo 2% (Every other day) – Blank 4/23 <p>As indicated by the Medication Administration Records the individual is to take Gabapentin 600 mg (3 times daily). According to the Physician's Orders, Gabapentin 800 mg is to be taken 3 times daily. Medication Administration Record and Physician's Orders do not match.</p> <p>As indicated by the Medication Administration Records the individual is to take Meloxicam 7.5</p>	<p>New / Repeat Findings:</p> <p>After an analysis of the evidence it has been determined there is a significant potential for a negative outcome to occur.</p> <p>Medication Administration Records (MAR) were reviewed for the month of October 2023.</p> <p>Based on record review, 2 of 5 individuals had Medication Administration Records (MAR), which contained missing medications entries and/or other errors:</p> <p>Individual #1 October 2023 No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> Aripiprazole 10 mg Atorvastatin 10 mg Cetavite-Antioxidant Chlorhexidine 15 ml Docusate Sodium 100 mg

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<p>Record online in Therap in all settings where medications or treatments are delivered.</p> <ol style="list-style-type: none"> 3. Family Living Providers may opt not to use MARs if they are the sole provider who supports the person and are related by affinity or consanguinity. However, if there are services provided by unrelated DSP, ANS for Medication Oversight must be budgeted, a MAR online in Therap must be created and used by the DSP. 4. Provider Agencies must configure and use the MAR when assisting with medication. 5. Provider Agencies Continually communicating any changes about medications and treatments between Provider Agencies to assure health and safety. 6. Provider agencies must include the following on the MAR: <ol style="list-style-type: none"> a. The name of the person, a transcription of the physician's or licensed health care provider's orders including the brand and generic names for all ordered routine and PRN medications or treatments, and the diagnoses for which the medications or treatments are prescribed. b. The prescribed dosage, frequency and method or route of administration; times and dates of administration for all ordered routine and PRN medications and other treatments; all over the counter (OTC) or "comfort" medications or treatments; all self-selected herbal preparation approved by the prescriber, and/or vitamin therapy approved by prescriber. c. Documentation of all time limited or discontinued medications or treatments. d. The initials of the person administering or assisting with medication delivery. e. Documentation of refused, missed, or held medications or treatments. f. Documentation of any allergic reaction that occurred due to medication or treatments. g. For PRN medications or treatments including all physician approved over the counter medications and herbal or other supplements: <ol style="list-style-type: none"> i. instructions for the use of the PRN medication or treatment which must include 	<p>mg (1 time daily). According to the Physician's Orders, Meloxicam 7.5 mg is to be taken 1 time daily as needed. Medication Administration Record and Physician's Orders do not match.</p> <p>May 2023 Medication Administration Records contained missing entries. No documentation found indicating reason for missing entries:</p> <ul style="list-style-type: none"> • Ketoconazole Shampoo 2% (Every other day) – Blank 5/13, 30 <p>As indicated by the Medication Administration Records the individual is to take Gabapentin 600 mg (3 times daily). According to the Physician's Orders, Gabapentin 800 mg is to be taken 3 times daily. Medication Administration Record and Physician's Orders do not match.</p> <p>As indicated by the Medication Administration Records the individual is to take Meloxicam 7.5 mg (1 time daily). According to the Physician's Orders, Meloxicam 7.5 mg is to be taken 1 time daily as needed. Medication Administration Record and Physician's Orders do not match.</p> <p>June 2023 Medication Administration Records contained missing entries. No documentation found indicating reason for missing entries:</p> <ul style="list-style-type: none"> • Tizanidine HCL 4 mg (3 times daily) – Blank 6/1 (1:00 PM and 6:00 PM) <p>Individual #2 April 2023 Medication Administration Records contained missing entries. No documentation found indicating reason for missing entries:</p> <ul style="list-style-type: none"> • Aripiprazole 20 mg (1 time daily) – Blank 4/6 - 13 (7:00 AM) • Atorvastatin 10 mg (1 time daily) – Blank 4/30 (7:00 PM) 	<ul style="list-style-type: none"> • Meloxicam 7.5 mg • Tizanidine .4 mg • Venlafaxine HCL 150 mg <p>Individual #4 October 2023 No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Famotidine 20 mg • Lorartan Potassium 25 mg • Loteprednol .5% • Magnesium Oxide 250 mg • Metropolis Succ ER 25 mg • Multivitamin • Nortriptyline HCL 10 mg • Pravastatin Sodium 200 mg • Tamsulosin HCL .4 mg • Vitamin D3 1000 units • Xarelto 10 mg
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<p>observable signs/symptoms or circumstances in which the medication or treatment is to be used and the number of doses that may be used in a 24-hour period;</p> <p>ii. clear follow-up detailed documentation that the DSP contacted the agency nurse prior to assisting with the medication or treatment; and</p> <p>iii. documentation of the effectiveness of the PRN medication or treatment.</p> <p>NMAC 16.19.11.8 MINIMUM STANDARDS: A. MINIMUM STANDARDS FOR THE DISTRIBUTION, STORAGE, HANDLING AND RECORD KEEPING OF DRUGS: (d) The facility shall have a Medication Administration Record (MAR) documenting medication administered to residents, including over-the-counter medications. This documentation shall include:</p> <ul style="list-style-type: none"> (i) Name of resident; (ii) Date given; (iii) Drug product name; (iv) Dosage and form; (v) Strength of drug; (vi) Route of administration; (vii) How often medication is to be taken; (viii) Time taken and staff initials; (ix) Dates when the medication is discontinued or changed; (x) The name and initials of all staff administering medications. <p>Model Custodial Procedure Manual D. Administration of Drugs Unless otherwise stated by practitioner, patients will not be allowed to administer their own medications. Document the practitioner's order authorizing the self-administration of medications.</p> <p>All PRN (As needed) medications shall have complete detail instructions regarding the administering of the medication. This shall include:</p>	<ul style="list-style-type: none"> • Benztropine MES .5 mg (2 times daily) – Blank 4/30 (7:00 PM) • Denta 5000 plus cream (1 time daily) – Blank 4/30 (8:00 PM) • Lamotrigine 100 mg (2 times daily) – Blank 4/30 (8:00 PM) • Metformin HCL 1000 mg (2 times daily) – Blank 4/30 (5:00 PM) • Metoprolol Tart 25 mg (2 times daily) – Blank 4/30 (8:00 PM) • Oxcarbazepine 600 mg (2 times daily) – Blank 4/6 – 13 (7:00 AM), 4/5 – 13 (7:00 PM) • Tamsulosin HCL .4 mg (1 time daily) – Blank 4/30 (6:00 PM) • Zinc Sulfate 50 mg (1 time daily) – Blank 4/30 (12:30 PM) <p>As indicated by the Medication Administration Records the individual is to take Quetiapine 25 mg (3 times daily). According to the Physician's Orders, Quetiapine Fumarate 25 mg is to be taken 2 times daily. Medication Administration Record and Physician's Orders do not match.</p> <p>No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Aripiprazole 20 mg • Denta 5000 plus cream • Oxcarbazepine 600 mg <p>Physician's Orders indicated the following medication were to be given. The following</p>	
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<ul style="list-style-type: none"> ➤ symptoms that indicate the use of the medication, ➤ exact dosage to be used, and ➤ the exact amount to be used in a 24-hour period. 	<p>Medications were not documented on the Medication Administration Records:</p> <ul style="list-style-type: none"> • Quetiapine Fumarate 50 mg (1 time daily) <p>May 2023 No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Denta 5000 plus cream <p>Physician's Orders indicated the following medication were to be given. The following Medications were not documented on the Medication Administration Records:</p> <ul style="list-style-type: none"> • Quetiapine Fumarate 50 mg (1 time daily) <p>Individual #4 April 2023 No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Pravastatin Sodium 200 mg <p>May 2023 No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Pravastatin Sodium 200 mg <p>Individual #11 April 2023 Medication Administration Records contained missing entries. No documentation found indicating reason for missing entries:</p> <ul style="list-style-type: none"> • Divalproex SOD ER 500 mg (3 times daily) – Blank 4/30 (2:00 PM and 8:00 PM) • Melatonin 1 mg (1 time daily) – Blank 4/30 (8:00 PM) • Mirtazapine 15 mg (1 time daily) – Blank 4/30 (8:00 PM) 	
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- Olanzapine 5 mg (2 times daily) – Blank 4/30 (12:00 PM)
- Olanzapine 20 mg (1 time daily) – Blank 4/15, 30 (8:00 PM)
- Trazodone 50 mg 1 mg (1 time daily) – Blank 4/30 (8:00 PM)

Tag # 1A09.0 Medication Delivery Routine Medication Administration	Standard Level Deficiency	Standard Level Deficiency
<p>Developmental Disabilities Waiver Service Standards Eff 11/1/2021</p> <p>Chapter 10 Living Care Arrangements (LCA): 10.3.5 Medication Assessment and Delivery: Living Supports Provider Agencies must support and comply with:</p> <ol style="list-style-type: none"> the processes identified in the DDS AWMD training; the nursing and DSP functions identified in the Chapter 13.3 Adult Nursing Services; all Board of Pharmacy regulations as noted in Chapter 16.5 Board of Pharmacy; and documentation requirements in a Medication Administration Record (MAR) as described in Chapter 20 20.6 Medication Administration Record (MAR) <p>Chapter 20 Provider Documentation and Client Records: 20.6 Medication Administration Record (MAR): Administration of medications apply to all provider agencies of the following services: living supports, customized community supports, community integrated employment, intensive medical living supports.</p> <ol style="list-style-type: none"> Primary and secondary provider agencies are to utilize the Medication Administration Record (MAR) online in Therap. Providers have until November 1, 2022, to have a current Electronic Medication Administration Record online in Therap in all settings where medications or treatments are delivered. Family Living Providers may opt not to use MARs if they are the sole provider who supports the person and are related by affinity or consanguinity. However, if there are services provided by unrelated DSP, ANS for Medication Oversight must be budgeted, a MAR online in Therap must be created and used by the DSP. Provider Agencies must configure and use the MAR when assisting with medication. Provider Agencies Continually communicating any changes about medications and treatments 	<p>Medication Administration Records (MAR) were reviewed for the months of April, May and June 2023.</p> <p>Based on record review, 3 of 5 individuals had Medication Administration Records (MAR), which contained missing medications entries and/or other errors:</p> <p>Individual #1 April 2023 Medication Administration Record did not contain the time the medication should be given:</p> <ul style="list-style-type: none"> Ketoconazole Shampoo 2% (Every other day) Ketoconazole Cream 2% (Every other day) <p>May 2023 Medication Administration Record did not contain the time the medication should be given:</p> <ul style="list-style-type: none"> Ketoconazole Shampoo 2% (Every other day) Ketoconazole Cream 2% (Every other day) <p>Individual #5 June 2023 Medication Administration Record did not contain the time the medication should be given:</p> <ul style="list-style-type: none"> Lisinopril 20 mg (1 time daily) Lamotrigine 200 mg (1 time daily) <p>Individual #11 April 2023 Medication Administration Records did not contain the diagnosis for which the medication is prescribed:</p> <ul style="list-style-type: none"> Mirtazapine 15 mg (1 time daily) <p>May 2023</p>	<p>New / Repeat Findings:</p> <p>Medication Administration Records (MAR) were reviewed for the month of October 2023.</p> <p>Based on record review, 4 of 5 individuals had Medication Administration Records (MAR), which contained missing medications entries and/or other errors:</p> <p>Individual #1 October 2023 Medication Administration Record did not contain the form (i.e. liquid, tablet, capsule, etc.) of medication to be taken for the following:</p> <ul style="list-style-type: none"> Gabapentin 800 mg (2 times daily) Tizanidine .4 mg (4 times daily) <p>Individual #2 October 2023 Medication Administration Record did not contain the form (i.e. liquid, tablet, capsule, etc.) of medication to be taken for the following:</p> <ul style="list-style-type: none"> Quetiapine 50 mg (1 time daily) Metformin HCL 1000 mg (2 times daily) <p>Individual #5 October 2023 Medication Administration Records did not contain the diagnosis for which the medication is prescribed:</p> <ul style="list-style-type: none"> Aripiprazole 15 mg (1 time daily) Lamotrigine 200 mg (1 time daily) Lisinopril 20 mg (1 time daily) Simvastatin 40 mg (1 time daily)

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<p>between Provider Agencies to assure health and safety.</p> <p>6. Provider agencies must include the following on the MAR:</p> <ol style="list-style-type: none"> a. The name of the person, a transcription of the physician's or licensed health care provider's orders including the brand and generic names for all ordered routine and PRN medications or treatments, and the diagnoses for which the medications or treatments are prescribed. b. The prescribed dosage, frequency and method or route of administration; times and dates of administration for all ordered routine and PRN medications and other treatments; all over the counter (OTC) or "comfort" medications or treatments; all self-selected herbal preparation approved by the prescriber, and/or vitamin therapy approved by prescriber. c. Documentation of all time limited or discontinued medications or treatments. d. The initials of the person administering or assisting with medication delivery. e. Documentation of refused, missed, or held medications or treatments. f. Documentation of any allergic reaction that occurred due to medication or treatments. g. For PRN medications or treatments including all physician approved over the counter medications and herbal or other supplements: <ol style="list-style-type: none"> i. instructions for the use of the PRN medication or treatment which must include observable signs/symptoms or circumstances in which the medication or treatment is to be used and the number of doses that may be used in a 24-hour period; ii. clear follow-up detailed documentation that the DSP contacted the agency nurse prior to assisting with the medication or treatment; and iii. documentation of the effectiveness of the PRN medication or treatment. <p>NMAC 16.19.11.8 MINIMUM STANDARDS:</p>	<p>Medication Administration Records did not contain the diagnosis for which the medication is prescribed:</p> <ul style="list-style-type: none"> • Mirtazapine 15 mg (1 time daily) 	<p>Individual #11 October 2023</p> <p>Medication Administration Record did not contain the form (i.e. liquid, tablet, capsule, etc.) of medication to be taken for the following:</p> <ul style="list-style-type: none"> • Trazodone 100 mg (1 time daily)
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A. MINIMUM STANDARDS FOR THE DISTRIBUTION, STORAGE, HANDLING AND RECORD KEEPING OF DRUGS:

(d) The facility shall have a Medication Administration Record (MAR) documenting medication administered to residents, **including over-the-counter medications**. This documentation shall include:

- (i) Name of resident;
- (ii) Date given;
- (iii) Drug product name;
- (iv) Dosage and form;
- (v) Strength of drug;
- (vi) Route of administration;
- (vii) How often medication is to be taken;
- (viii) Time taken and staff initials;
- (ix) Dates when the medication is discontinued or changed;
- (x) The name and initials of all staff administering medications.

Model Custodial Procedure Manual

D. Administration of Drugs

Unless otherwise stated by practitioner, patients will not be allowed to administer their own medications.

Document the practitioner's order authorizing the self-administration of medications.

All PRN (As needed) medications shall have complete detail instructions regarding the administering of the medication. This shall include:

- symptoms that indicate the use of the medication,
- exact dosage to be used, and
- the exact amount to be used in a 24-hour period.

Tag # 1A09.1 Medication Delivery PRN Medication Administration	Condition of Participation Level Deficiency	Condition of Participation Level Deficiency
<p>Developmental Disabilities Waiver Service Standards Eff 11/1/2021</p> <p>Chapter 10 Living Care Arrangements (LCA): 10.3.5 Medication Assessment and Delivery: Living Supports Provider Agencies must support and comply with:</p> <ol style="list-style-type: none"> 1. the processes identified in the DDS AWMD training; 2. the nursing and DSP functions identified in the Chapter 13.3 Adult Nursing Services; 3. all Board of Pharmacy regulations as noted in Chapter 16.5 Board of Pharmacy; and 4. documentation requirements in a Medication Administration Record (MAR) as described in Chapter 20 20.6 Medication Administration Record (MAR) <p>Chapter 20 Provider Documentation and Client Records: 20.6 Medication Administration Record (MAR): Administration of medications apply to all provider agencies of the following services: living supports, customized community supports, community integrated employment, intensive medical living supports.</p> <ol style="list-style-type: none"> 1. Primary and secondary provider agencies are to utilize the Medication Administration Record (MAR) online in Therap. 2. Providers have until November 1, 2022, to have a current Electronic Medication Administration Record online in Therap in all settings where medications or treatments are delivered. 3. Family Living Providers may opt not to use MARs if they are the sole provider who supports the person and are related by affinity or consanguinity. However, if there are services provided by unrelated DSP, ANS for Medication Oversight must be budgeted, a MAR online in Therap must be created and used by the DSP. 4. Provider Agencies must configure and use the MAR when assisting with medication. 5. Provider Agencies Continually communicating any changes about medications and treatments 	<p>After an analysis of the evidence it has been determined there is a significant potential for a negative outcome to occur.</p> <p>Medication Administration Records (MAR) were reviewed for the months of April, May, and June 2023.</p> <p>Based on record review, 4 of 5 individuals had PRN Medication Administration Records (MAR), which contained missing elements as required by standard:</p> <p>Individual #1 April 2023 Physician's Orders indicated the following medication were to be given. The following Medications were not documented on the Medication Administration Records:</p> <ul style="list-style-type: none"> • Ketoconazole 2% Shampoo (PRN) <p>No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Hydroxyzine PAM 25 mg (PRN) <p>May 2023 Physician's Orders indicated the following medication were to be given. The following Medications were not documented on the Medication Administration Records:</p> <ul style="list-style-type: none"> • Ketoconazole 2% Shampoo (PRN) <p>No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Hydroxyzine PAM 25 mg (PRN) <p>Individual #2 April 2023</p>	<p>New / Repeat Findings:</p> <p>After an analysis of the evidence it has been determined there is a significant potential for a negative outcome to occur.</p> <p>Medication Administration Records (MAR) were reviewed for the month of October 2023.</p> <p>Based on record review, 3 of 5 individuals had PRN Medication Administration Records (MAR), which contained missing elements as required by standard:</p> <p>Individual #1 October 2023 No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Acetaminophen 500 mg (PRN) • Airborne (PRN) • Phenylephrine PE 10 mg (PRN) <p>Individual #2 October 2023 No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Acetaminophen 500 mg (PRN) • Anbesol Max Strength Gel (PRN) • Benzonatate 100 mg (PRN) <p>Individual #4 October 2023 No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p>

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<p>between Provider Agencies to assure health and safety.</p> <p>6. Provider agencies must include the following on the MAR:</p> <ol style="list-style-type: none"> a. The name of the person, a transcription of the physician's or licensed health care provider's orders including the brand and generic names for all ordered routine and PRN medications or treatments, and the diagnoses for which the medications or treatments are prescribed. b. The prescribed dosage, frequency and method or route of administration; times and dates of administration for all ordered routine and PRN medications and other treatments; all over the counter (OTC) or "comfort" medications or treatments; all self-selected herbal preparation approved by the prescriber, and/or vitamin therapy approved by prescriber. c. Documentation of all time limited or discontinued medications or treatments. d. The initials of the person administering or assisting with medication delivery. e. Documentation of refused, missed, or held medications or treatments. f. Documentation of any allergic reaction that occurred due to medication or treatments. g. For PRN medications or treatments including all physician approved over the counter medications and herbal or other supplements: <ol style="list-style-type: none"> i. instructions for the use of the PRN medication or treatment which must include observable signs/symptoms or circumstances in which the medication or treatment is to be used and the number of doses that may be used in a 24-hour period; ii. clear follow-up detailed documentation that the DSP contacted the agency nurse prior to assisting with the medication or treatment; and iii. documentation of the effectiveness of the PRN medication or treatment. <p>NMAC 16.19.11.8 MINIMUM STANDARDS:</p>	<p>No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:</p> <ul style="list-style-type: none"> • Acetaminophen 325 mg (PRN) • Acetaminophen 500 mg (PRN) • Airborne (PRN) • Ammonium Lactate 12% Cream (PRN) • Anbesol Max Strength Gel for Toothaches (PRN) • Artificial Tears Opth Sol (PRN) • Benzonatate 100 mg (PRN) • Cal-gest (PRN) • Diphenhydramine 25 mg (PRN) • Diphenhydramine 2% Cream (PRN) • Eucerin Cream (PRN) • Guaifenesin-DM 200-20 mg/10 ml (PRN) • Hydrocortisone 2.5% Cream (PRN) • Loperamide OTC 2 mg (PRN) • Mag/Alum/Sim Sus 30 ml (PRN) • Milk of Magnesia Suspension 30 ml (PRN) • Pink Bismuth 262 mg/15 ml (PRN) • Senna-Lax 8.6 mg (PRN) • Sore Throat & Cough Lozenge (PRN) 	<ul style="list-style-type: none"> • Acetaminophen 500 mg (PRN) • Airborne (PRN) • Insta-glucose (PRN) • Moisturizing Cream (PRN) • SM Skin Cleanser (PRN)
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A. MINIMUM STANDARDS FOR THE DISTRIBUTION, STORAGE, HANDLING AND RECORD KEEPING OF DRUGS:

(d) The facility shall have a Medication Administration Record (MAR) documenting medication administered to residents, **including over-the-counter medications**. This documentation shall include:

- (i) Name of resident;
- (ii) Date given;
- (iii) Drug product name;
- (iv) Dosage and form;
- (v) Strength of drug;
- (vi) Route of administration;
- (vii) How often medication is to be taken;
- (viii) Time taken and staff initials;
- (ix) Dates when the medication is discontinued or changed;
- (x) The name and initials of all staff administering medications.

Model Custodial Procedure Manual

D. Administration of Drugs

Unless otherwise stated by practitioner, patients will not be allowed to administer their own medications.

Document the practitioner's order authorizing the self-administration of medications.

All PRN (As needed) medications shall have complete detail instructions regarding the administering of the medication. This shall include:

- symptoms that indicate the use of the medication,
- exact dosage to be used, and
- the exact amount to be used in a 24-hour period.

- Sore Throat Spray (PRN)
- Sunscreen SPF 30 (PRN)
- Theraflu NT Sever Cld-Cgh (PRN)
- Triple Antibiotic Ointment (PRN)

May 2023

No Physician's Orders were found for medications listed on the Medication Administration Records for the following medications:

- Acetaminophen 325 mg (PRN)
- Acetaminophen 500 mg (PRN)
- Airborne (PRN)
- Ammonium Lactate 12% Cream (PRN)
- Anbesol Max Strength Gel for Toothaches (PRN)
- Artificial Tears Opth Sol (PRN)
- Benzonatate 100 mg (PRN)
- Cal-gest (PRN)
- Diphenhydramine 25 mg (PRN)
- Diphenhydramine 2% Cream (PRN)
- Eucerin Cream (PRN)
- Guaifenesin-DM 200-20 mg/10 ml (PRN)
- Hydrocortisone 2.5% Cream (PRN)
- Loperamide OTC 2 mg (PRN)
- Mag/Alum/Sim Sus 30 ml (PRN)

- Milk of Magnesia Suspension 30 ml (PRN)
 - Pink Bismuth 262 mg/15 ml (PRN)
 - Senna-Lax 8.6 mg (PRN)
 - Sore Throat & Cough Lozenge (PRN)
 - Sore Throat Spray (PRN)
 - Sunscreen SPF 30 (PRN)
 - Theraflu NT Sever Cld-Cgh (PRN)
- Triple Antibiotic Ointment (PRN)

Individual #5
June 2023

Physician's Orders indicated the following medication were to be given. The following Medications were not documented on the Medication Administration Records:

- Acetaminophen 325 mg (PRN)
- Airborne (PRN)
- Anbesol Toothache drops (PRN)
- Artificial Tears (PRN)
- Benadryl Cream (PRN)
- Benadryl 25 mg (PRN)
- Pepto Bismol (PRN)
- Maalox/Mylanta/Tums (PRN)
- Chloraseptic Spray (PRN)
- Hydrocortisone 1% Cream (PRN)

- Imodium AD (PRN)
- Milk of Magnesia (PRN)
- Ocean Mist (PRN)
- Pamprin (PRN)
- Robitussin (PRN)
- Sun Block (PRN)
- Theraflu (PRN)
- Triple Antibiotic Ointment (PRN)

Individual #11

April 2023

As indicated by the Medication Administration Records the individual is to take Pink Bismuth 262 MG/15 ML, take 10 ML (PRN). According to the Physician's Orders, Pink Bismuth take 30 ML is to be taken as needed. Medication Administration Record and Physician's Orders do not match.

May 2023

As indicated by the Medication Administration Records the individual is to take Pink Bismuth 262 MG/15 ML, take 10 ML (PRN). According to the Physician's Orders, Pink Bismuth take 30 ML is to be taken as needed. Medication Administration Record and Physician's Orders do not match.

Standard of Care	Routine Survey Deficiencies June 5 – 15, 2023	Verification Survey New and Repeat Deficiencies November 27 – December 6, 2023
Service Domain: Service Plans: ISP Implementation – Services are delivered in accordance with the service plan, including type, scope, amount, duration and frequency specified in the service plan.		
Tag # 1A08 Administrative Case File (Other Required Documents)	Standard Level Deficiency	COMPLETE
Tag # 1A08.1 Administrative and Residential Case File: Progress Notes	Standard Level Deficiency	COMPLETE
Tag # 1A08.3 Administrative Case File: Individual Service Plan / ISP Components	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A32 Administrative Case File: Individual Service Plan Implementation	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A32.1 Administrative Case File: Individual Service Plan Implementation (Not Completed at Frequency)	Standard Level Deficiency	COMPLETE
Tag # 1A32.2 Individual Service Plan Implementation (Residential Implementation)	Standard Level Deficiency	COMPLETE
Tag # 1A38 Living Care Arrangement / Community Inclusion Reporting Requirements	Standard Level Deficiency	COMPLETE
Tag # LS14 Residential Service Delivery Site Case File (ISP and Healthcare Requirements)	Condition of Participation Level Deficiency	COMPLETE
Tag # LS14.1 Residential Service Delivery Site Case File (Other Req. Documentation)	Standard Level Deficiency	COMPLETE
Service Domain: Qualified Providers – The State monitors non-licensed/non-certified providers to assure adherence to waiver requirements. The State implements its policies and procedures for verifying that provider training is conducted in accordance with State requirements and the approved waiver.		
Tag # 1A20 Direct Support Professional Training	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A22 Agency Personnel Competency	Condition of Participation Level Deficiency	COMPLETE
Tag #1A25 Caregiver Criminal History Screening	Standard Level Deficiency	COMPLETE
Tag # 1A25.1 Caregiver Criminal History Screening	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A26 Employee Abuse Registry	Standard Level Deficiency	COMPLETE
Tag # 1A26.1 Employee Abuse Registry	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A37 Individual Specific Training	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A43.1 General Events Reporting: Individual Reporting	Standard Level Deficiency	COMPLETE

Service Domain: Health and Welfare – The state, on an ongoing basis, identifies, addresses and seeks to prevent occurrences of abuse, neglect and exploitation. Individuals shall be afforded their basic human rights. The provider supports individuals to access needed healthcare services in a timely manner.		
Tag # 1A03 Quality Improvement System & Key Performance Indicators (KPIs)	Standard Level Deficiency	COMPLETE
Tag #1A08.2 Administrative Case File: Healthcare Requirements & Follow-up	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A09.1.0 Medication Delivery PRN Medication Administration	Standard Level Deficiency	COMPLETE
Tag # 1A09.2 Medication Delivery Nurse Approval for PRN Medication	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A15 Healthcare Coordination – Nurse Availability / Knowledge	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A15.2 Administrative Case File: Healthcare Documentation (Therap and Required Plans)	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A29 Complaints / Grievances Acknowledgement	Standard Level Deficiency	COMPLETE
Tag # 1A31 Client Rights / Human Rights	Condition of Participation Level Deficiency	COMPLETE
Tag # 1A33.1 Board of Pharmacy - License	Standard Level Deficiency	COMPLETE
Tag # LS06 Family Living Requirements	Standard Level Deficiency	COMPLETE
Tag # LS25 Residential Health & Safety (Supported Living / Family Living / Intensive Medical Living)	Standard Level Deficiency	COMPLETE
Service Domain: Medicaid Billing/Reimbursement – State financial oversight exists to assure that claims are coded and paid for in accordance with the reimbursement methodology specified in the approved waiver.		
Tag # LS26 Supported Living Reimbursement	Standard Level Deficiency	COMPLETE
Tag # LS27 Family Living Reimbursement	Standard Level Deficiency	COMPLETE

	Verification Survey Plan of Correction, On-going QA/QI and Responsible Party	Completion Date
<p>Tag # 1A09 Medication Delivery Routine Medication Administration</p>	<p>Provider: State your Plan of Correction for the deficiencies cited in this tag here <i>(How is the deficiency going to be corrected? This can be specific to each deficiency cited or if possible an overall correction?):</i> →</p> <p>Provider: Enter your ongoing Quality Assurance/Quality Improvement processes as it related to this tag number here <i>(What is going to be done? How many individuals is this going to affect? How often will this be completed? Who is responsible? What steps will be taken if issues are found?):</i> →</p>	
<p>Tag # 1A09.0 Medication Delivery Routine Medication Administration</p>	<p>Provider: State your Plan of Correction for the deficiencies cited in this tag here <i>(How is the deficiency going to be corrected? This can be specific to each deficiency cited or if possible an overall correction?):</i> →</p> <p>Provider: Enter your ongoing Quality Assurance/Quality Improvement processes as it related to this tag number here <i>(What is going to be done? How many individuals is this going to affect? How often will this be completed? Who is responsible? What steps will be taken if issues are found?):</i> →</p>	



MICHELLE LUJAN GRISHAM
Governor

PATRICK M. ALLEN
Cabinet Secretary

Date: January 29, 2024

To: Michael Buszek, Ph.D., President / Executive Director

Provider: Transitional Lifestyles Community, LLC
Address: 8500 Menaul Blvd NE, A200
State/Zip: Albuquerque, New Mexico 87112

E-mail Address: tranlifellc@outlook.com

Region: Metro
Routine Survey: June 5 – 15, 2023
Verification Survey: November 27 – December 6, 2023
Program Surveyed: Developmental Disabilities Waiver

Service Surveyed: Supported Living and Family Living

Survey Type: Verification

Dear Mr. Michael Buszek, Ph.D.

The Division of Health Improvement/Quality Management Bureau has received, reviewed and approved the supporting documents you submitted for your Plan of Correction. The documents you provided verified that all previously cited survey Deficiencies have been corrected.

The Plan of Correction process is now complete.

Furthermore, your agency is now determined to be in Compliance with all Conditions of Participation.

To maintain ongoing compliance with standards and regulations, continue to use the Quality Assurance (self-auditing) processes you described in your Plan of Correction.

Consistent use of these Quality Assurance processes will enable you to identify and promptly respond to problems, enhance your service delivery, and result in fewer deficiencies cited in future QMB surveys.

Thank you for your cooperation with the Plan of Correction process, for striving to come into compliance with standards and regulations, and for helping to provide the health, safety and personal growth of the people you serve.

Sincerely,

Marie Passaglia, BA

Marie Passaglia, BA
Healthcare Surveyor Advanced/Plan of Correction Coordinator
Quality Management Bureau/DHI

Q.24.2.DDW.D3235.5.VER.09.24.029