

### HCBS Waiver Application Information Sheet

The current CMS approved Waivers are located here:

<https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/index.html> .

The CMS technical Guide is located here:

<https://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Waivers/Downloads/Technical-Guidance.pdf>

Appendix	CMS Review Criteria - Highlights	Hot Topic areas for DDW Application <sup>1</sup>
<b>A. Administration and Operation</b>	Who runs what; State Medicaid Agency must retain oversight; Delegation of operations (contractors, other state agencies etc.)	
<b>B. Participant Access and Eligibility</b>	Who is eligible; Individual Cost limits, if any; Reserved Waiver capacity (slots reserved for certain groups or purposes); Freedom of Choice (HCBS and institutional care)	Future of OR State definition I/DD; Use of a standardized assessment
<b>C. Services</b>	CMS provides a list of core services; states can use modify, add to invent new or ignore definitions; Provider qualifications (CMS rules allow family to provide services with some criteria e.g. best interest of individual);	Services scope and definition: Service amount, frequency and duration, and applicable limits; Updates DSP training / certification and Provide accreditation; Services: <ul style="list-style-type: none"> <li>• <b>Non-Medical Transportation</b></li> <li>• Technology</li> <li>• <b>Living Care Arrangements</b> Supporting people needing two person transfers— Non-Ambulatory Stipend</li> <li>• CCS-IIBS;</li> <li>• <b>In home needs during day</b></li> <li>• Job aide and Community inclusion aide</li> <li>• Nursing requirements</li> </ul>

<sup>1</sup> Red items were added for consideration by DD Waiver Steering Committee

Appendix	CMS Review Criteria - Highlights	Hot Topic areas for DDW Application <sup>1</sup>
		<ul style="list-style-type: none"> <li>• Health Care Coordination (DDW Standards)</li> <li>• Supplemental Dental</li> <li>• Case Management training and mixed caseloads</li> <li>• Use of Therap (DDW Standards)</li> </ul>
<b>D. Participant Centered Planning and Service Delivery</b>	Service Plan Development, Implementation and Monitoring including choice of providers	Development of ISP - and team processes; Improving person centered planning
<b>E. Self- Direction</b>		
<b>F. Participant Rights</b>	Opportunity for Medicaid Fair Hearing; Additional Dispute Resolution Mechanisms State Grievance/Complaint System	
<b>G. Participant Safeguards</b>	Response to Critical Incidents; Safeguards Concerning Restraints and Restrictive Interventions; Medication Management Administration	Updates Human Rights Committee, allowable restrictions and Emergency Physical Restraints
<b>H. Quality Improvement Strategy</b>	How state assures CMS it will meet all requirements; How the state will make improvements in the system; State must collect and analyze data and report to CMS	Oversight and monitoring – QMB Survey process (regulations and procedures)
<b>I. Financial Accountability</b>	Using only “legal” matching funds; Subjecting program to audits; Rate Methodology; Not paying for room and board	Rate methodology and rates (already covered) EVV model and implementation
<b>J. Cost Neutrality Demonstration</b>	The formula that shows what the state will spend on average person will not be more than what the state would pay on average per person for institutional care	Cost containment in general (HB 2 Requires cost Containment plan in Oct 2019)

**External Focus group Membership** – depending on topic and interest

People and families in services

DDPC,

DRNM,

The ARC of NM

Office of Guardianship

DVR

NM TAP

ADDCP

CMAAC

MVAC /Consultant network (dual caseload)

OR implementation group  
Behavior Therapy Association  
Therapy Network  
New Mexico Association of Family Living Providers  
DD Nurses Association  
**PRO**